

**Vista®****Alps Industries Limited**(A Government Recognised Golden Trading House)  
An ISO 14001 & ISO 9001 Company57/2, Site-IV Industrial Area, Sahibabad, Ghaziabad- 201 010 INDIA  
Phones : 91-120-4161700 (60 Lines) Fax : 91-120-2895299, 896041  
E-mail : alps@alpsindustries.com

(I) (a)

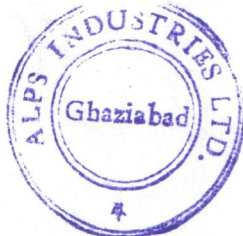
**SHAREHOLDING PATTERN**

Introductory sub-table (I)(a)

Name of the Company : Alps Industries Limited

Scrip Code, Name of the Scrip, Class of the Security : NSE -ALPSINDUS & BSE -530715 - ALPSIND, Alps Industries Limited, Equity  
Quarter Ended: Shareholding Pattern as on September 30, 2013.

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0.00	0.00	0.00
Held by public	0.00	0.00	0.00
<b>Total</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0.00	0.00	0.00
Held by public	26,934,146	100.00	40.78
<b>Total</b>	<b>26,934,146</b>	<b>100.00</b>	<b>40.78</b>
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0.00	0.00	0.00
Held by public	0.00	0.00	0.00
<b>Total</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>	<b>66,048,246</b>	<b>100.00</b>	<b>100.00</b>



For Alps Industries Limited

**AJAY GUPTA**  
(Company Secretary &  
General Manager-Legal)

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Statement Showing Shareholding Pattern as on September 30, 2013

Category Code	Category of Shareholder	Number of shareholders	Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered			
			Total Number of Shares	Number of shares held in dematerialized form	As a Percentage of (A+B) <sup>1</sup>	As a Percentage of (A+B+C)	Number of Shares	As a Percentage (IX=(VIII)/(IV)*100)		
			(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>									
(1)	<b>Indian</b>									
(a)	Individuals / Hindu Undivided Family (HUF)	8	2,906,028	2,906,028	7.43	7.43	2,406,028	82.79		
(b)	Central Government / State Government	-	-	-	-	-	-	-		
(c)	Bodies Corporate	11	7,679,990	7,679,990	19.63	19.63	5,179,990	67.45		
(d)	Financial Institutions / Banks	-	-	-	-	-	-	-		
(e)	Any Other (Specify)	-	-	-	-	-	-	-		
	<b>Sub - Total A (1)</b>	<b>19</b>	<b>10,586,018</b>	<b>10,586,018</b>	<b>27.06</b>	<b>27.06</b>	<b>7,586,018</b>	<b>71.66</b>		
(2)	<b>Foreign</b>									
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	-	-	-	-	-	-	-		
(b)	Bodies Corporate	-	-	-	-	-	-	-		
(c)	Institutions	-	-	-	-	-	-	-		
(d)	Qualified Foreign Investor	-	-	-	-	-	-	-		
(e)	Any Other (Specify)	-	-	-	-	-	-	-		
	<b>Sub - Total A (2)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>		
	<b>Total Shareholding of promoter and promoter group (A)=A(1)+A(2)</b>	<b>19</b>	<b>10,586,018</b>	<b>10,586,018</b>	<b>27.06</b>	<b>27.06</b>	<b>7,586,018</b>	<b>71.66</b>		
(B)	<b>Public shareholding<sup>3</sup></b>									
(1)	<b>Institutions</b>									
(a)	Mutual Funds/ UTI	3	300	100	0.00	0.00	-	-		
(b)	Financial Institutions / Banks	1	200	200	0.00	0.00	-	-		
(c)	Central Government/State Government(s)	1	100	100	0.00	0.00	-	-		
(d)	Venture Capital Funds	-	-	-	-	-	-	-		
(e)	Insurance Companies (FI Govt. Sponsored)	2	2,028,738	2,028,738	5.19	5.19	-	-		
(f)	Foreign Institutional Investors (FIIs)	-	-	-	-	-	-	-		
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	-		
(h)	Qualified Foreign Investor	-	-	-	-	-	-	-		
(i)	Any other (specify)	-	-	-	-	-	-	-		
	<b>Sub - Total B (1)</b>	<b>7</b>	<b>2,029,338</b>	<b>2,029,138</b>	<b>5.19</b>	<b>5.19</b>	<b>-</b>	<b>-</b>		
(2)	<b>Non-Institutions</b>									
(a)	Body Corporate	375	3,038,909	3,033,689	7.77	7.77	6,000	0.20		
(b)	Individuals									
I	Individuals - (i) Individual shareholders holding nominal share capital up to Rs. 1 lakh.	23,988	14,021,446	13,957,657	35.85	35.85	-	-		
II	(ii) Individual shareholders holding nominal share capital excess to Rs. 1 lakh.	236	8,743,817	8,743,817	22.35	22.35	-	-		
	<b>Total (b+bi)</b>	<b>24,224</b>	<b>22,765,263</b>	<b>22,701,474</b>	<b>58</b>	<b>58</b>	<b>44,193</b>	<b>0.19</b>		
(c)	Qualified Foreign Investor	-	-	-	-	-	-	-		
(d)	Any Other(Total)	284	694,572	666,027	1.78	1.78	-	-		
(d-i)	(NRI Repatriable) &	212	648,486	620,041	1.66	1.66	-	-		
(d-ii)	(NRI Non Repatriable)	72	46,086	45,986	0.12	0.12	-	-		
	<b>Sub - Total B (2)</b>	<b>24,883</b>	<b>26,498,744</b>	<b>26,401,190</b>	<b>67.75</b>	<b>67.75</b>	<b>50,193</b>	<b>0.19</b>		
	<b>Total Public Shareholding (B) = B(1)+B(2)</b>	<b>24,890</b>	<b>28,528,082</b>	<b>28,430,328</b>	<b>72.94</b>	<b>72.94</b>	<b>50,193</b>	<b>0.18</b>		
(C)	<b>Shares held by custodians and against which depository receipts have been issued.</b>									
1	Promoter & Promoter Group	-	-	-	-	-	-	-		
2	Public	-	-	-	-	-	-	-		
	<b>Sub - Total (C)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>		
	<b>Grand Total (A+B)</b>	<b>24,909</b>	<b>39,114,100</b>	<b>39,016,346</b>	<b>100.00</b>	<b>100.00</b>	<b>7,636,211</b>	<b>19.52</b>		



For Alps Industries Limited

**AJAY GUPTA**  
(Company Secretary &  
General Manager-Legal)



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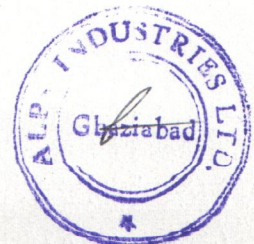
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(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the Shareholders	Total Shares held		Shares pledged or otherwise encumbered			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XII)
		No of Shares as on 30.9.2013	As a % of grand total (A+B)	No of Shares as on 30.9.2013	As a percentage (VI=VIII*100)	As a % of grand total of sub clause (I) (a) (VII)	Number of warrants held	As a percentage total number of warrants of the same class	Number of convertible securities held	As a percentage total number of warrants of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
<b>(Promoter Individual/HUF)</b>											
1	1 K.K. Agarwal & Sons (H U F)	117,000	0.30	67,000	57.26	0.17	-	-	-	-	0.18
2	2 K. K. Agarwal	1,147,460	2.93	1,147,460	100.00	2.93	-	-	-	-	1.74
3	3 Nidhi Agarwal	216,100	0.55	16,100	7.45	0.04	-	-	-	-	0.33
4	4 Rohan Agarwal	430,128	1.10	230,128	53.50	0.59	-	-	-	-	0.65
5	5 Sandeep Agarwal	651,510	1.67	651,510	100.00	1.67	-	-	-	-	0.99
6	6 Sandeep Agarwal (HUF)	94,380	0.24	44,380	47.02	0.11	-	-	-	-	0.14
7	7 Sanyog Agarwal	248,650	0.64	248,650	100.00	0.64	-	-	-	-	0.38
8	8 Sunandini Agarwal	800	0.00	800	100.00	0.00	-	-	-	-	0.00
	<b>Total (A)</b>	<b>2,906,028</b>	<b>7.43</b>	<b>2,406,028</b>	<b>82.79</b>	<b>6.15</b>	-	-	-	-	<b>4.40</b>
<b>(Promoter Body Corporate)</b>											
1	9 Alps Processers Pvt. Ltd.	250,116	0.64	250,116	100.00	0.64	-	-	-	-	0.38
2	10 Careen Fintec (P) Ltd.	295,000	0.75	295,000	100.00	0.75	-	-	-	-	0.45
3	11 Coronation Spinning India Pvt Ltd	900,000	2.30	300,000	33.33	0.77	-	-	-	-	1.36
4	12 Pacific Texmark Pvt. Ltd. (Formerly known as Alps Infin (P) Ltd.)	2,835,872	7.25	2,835,872	100.00	7.25	-	-	-	-	4.29
5	13 Padam Precision Dies & Components (P) Ltd.	1,688,200	4.32	188,200	11.15	0.48	-	-	-	-	2.56
6	14 Peek Finvest (P) Ltd.	342,082	0.87	342,082	100.00	0.87	-	-	-	-	0.52
7	15 Peek Texfab Ltd.	100,120	0.26	120	0.12	0.00	-	-	-	-	0.15
8	16 Prefect Finmen Services (P) Ltd.	412,800	1.06	262,800	63.66	0.67	-	-	-	-	0.62
9	17 Roseat Finvest (P) Ltd.	382,000	0.98	382,000	100.00	0.98	-	-	-	-	0.58
10	18 Saurabh Floriculture (Pvt) Ltd.	183,800	0.47	183,800	100.00	0.47	-	-	-	-	0.28
11	19 Supreme Finvest (Pvt) Ltd.	290,000	0.74	140,000	48.28	0.36	-	-	-	-	0.44
	<b>Total (B)</b>	<b>7,679,990</b>	<b>19.63</b>	<b>5,179,990</b>	<b>67.45</b>	<b>13.24</b>	-	-	-	-	<b>11.63</b>
	<b>Grand Total (A+B)</b>	<b>10,586,018</b>	<b>27.06</b>	<b>7,586,018</b>	<b>71.66</b>	<b>19.39</b>	-	-	-	-	<b>16.03</b>



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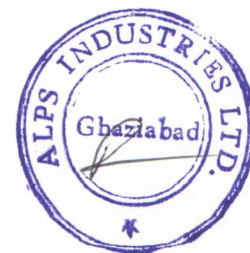
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(l) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares as on September 30, 2013.

SL. NO.	Name of the Shareholder	No. of Shares	Shares as a %age of total number of shares {i.e. Grand Total A+B+C indicated in statement at para (l) (a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a percentage total number of warrants of the same class	Number of convertible securities held	As a percentage total number of warrants of the same class	
<b>A. FI (GOVT. SPONSERED FI)</b>								
1	GENERAL INSURANCE CORPORATION OF INDIA	1,000,000	2.56	-	-	-	-	-
2	UNITED INDIA INSURANCE COMPANY LTD.	1,028,738	2.63	-	-	-	-	1.51
TOTAL (A)		2,028,738	5.19	-	-	-	-	1.56
								3.07
<b>B. NON INSTITUTIONS</b>								
<b>INDIVIDUAL SHAREHOLDERS - RESIDENT ORDINARY</b>								
1	ANIL KUMAR MISRA	986,996	2.52	-	-	-	-	1.49
2	SUNIL KUMAR MALIK	413,383	1.06	-	-	-	-	0.63
TOTAL(C)		1,400,379	3.58	-	-	-	-	2.12
GRAND TOTAL (A+B)		3,429,117	8.77	-	-	-	-	5.19





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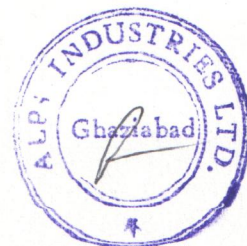
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(l)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company as on September30, 2013

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (l)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Punjab National Bank	-	-	-	-	13,203,013	49.0	20.0
2	State Bank of Mysore	-	-	-	-	6,601,506	24.5	10.0
3	State Bank of Hyderabad	-	-	-	-	3,960,904	14.7	6.0
	Total					23,765,423	88.2	36.0

NOTE: The percentage have been calculated on total number of convertible securities issued to the allottees i.e 26,934,146 OCCPS, which includes less than 5% also.





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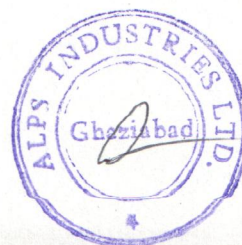
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(I) (d) Details of locked-in shares as on September 30, 2013

SL. NO.	Name of the Shareholder	Category of Shareholder (Promoters/Public)	No. of locked-in shares	Locked-in Shares as a %age of total number of shares (i.e. Grand Total A+B+C indicated in statement at para (I) (a) above)	Locked-In Period	
					To	From
TOTAL		-----NIL-----				



Regd. Office : B-2, Loni Road Indl. Area (Opp. Mohan Nagar) Ghaziabad - 201 007  
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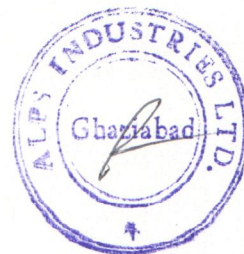
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(II) (a) Details of Depository Receipts (DRs) as on September 30, 2013

SL. NO.	Type of outstanding DR (ADRs, GDRs, SDRs etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a %age of total number of shares {I.e. Grand Total A+B+C indicated in statement at para (I) (a) above}
-----NIL-----				





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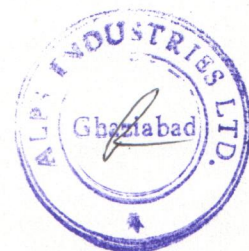
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(II) (b) Statement Showing Holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares as on September 30, 2013

SL. NO.	Name of the DR holder	Type of outstanding DR (ADRs, GDRs, SDRs etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a %age of total number of shares {i.e. Grand Total A+B+C indicated in statement at para (I) (a) above}
-----NIL-----				







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(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer as on September 30, 2013

Category Code	Category of Shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
		Class Equity	Class Y	Class Z		Percentage of (A+B) <sup>1</sup>	Percentage of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Promoter and Promoter Group						
(1)	Indian						
	(a) Individuals / Hindu Undivided Family (HUF)						
	(b) Central Government / State Government	2,906,028	-	-	2,906,028	7.43	7.43
	(c) Bodies Corporate	-	-	-	-	-	-
	(d) Financial Institutions / Banks	7,679,990	-	-	7,679,990	19.63	19.63
	(e) Any Other (Specify)	-	-	-	-	-	-
	Sub - Total A (1)	10,586,018	-	-	10,586,018	27.06	27.06
(2)	Foreign						
	(a) Individuals (Non-Resident Individuals/Foreign Individuals)	-	-	-	-	-	-
	(b) Bodies Corporate	-	-	-	-	-	-
	(c) Institutions	-	-	-	-	-	-
	(d) Qualified Foreign Investor	-	-	-	-	-	-
	(e) Any Other (Specify)	-	-	-	-	-	-
	Sub - Total A (2)	-	-	-	-	-	-
	Total Shareholding of promoter and promoter group (A)=A(1)+A(2)	10,586,018	-	-	10,586,018	27.06	27.06
(B)	Public shareholding <sup>3</sup>						
(1)	Institutions						
	(a) Mutual Funds/ UTI						
	(b) Financial Institutions / Banks	300	-	-	300	0.00	0.00
	(c) Central Government/State Government(s)	200	-	-	200	0.00	0.00
	(d) Venture Capital Funds	100	-	-	100	0.00	0.00
	(e) Insurance Companies (FI Govt. Sponsored)	-	-	-	-	-	-
	(f) Foreign Institutional Investors (FIIs)	2,028,738	-	-	2,028,738	5.19	5.19
	(g) Foreign Venture Capital Investors	-	-	-	-	-	-
	(h) Qualified Foreign Investor	-	-	-	-	-	-
	(i) Any other (specify)	-	-	-	-	-	-
	Sub - Total B (1)	2,029,338	-	-	2,029,338	5.19	5.19
(2)	Non-Institutions						
	(a) Body Corporate						
	(b) Individuals	3,038,909	-	-	3,038,909	7.77	7.77
	I Individuals - (i) Individual shareholders holding nominal share capital up to Rs. 1 lakh.	14,021,446	-	-	14,021,446	35.85	35.85
	II (ii) Individual shareholders holding nominal share capital excess to Rs. 1 lakh.	8,743,817	-	-	8,743,817	22.35	22.35
	Total(bI+bII)	22,765,263	-	-	22,765,263	58.20	58.20
	(c) Qualified Foreign Investor	-	-	-	-	-	-
	(d) Any Other(Total)	694,572	-	-	694,572	1.78	1.78
	(d-i) (NRI Repatriable)	648,486	-	-	648,486	1.66	1.66
	(d-ii) (NRI Non Repatriable)	46,086	-	-	46,086	0.12	0.12
	Sub - Total B (2)	26,498,744	-	-	26,498,744	67.75	67.75
	Total Public Shareholding (B) = B(1)+B(2)	28,528,082	-	-	28,528,082	72.94	72.94
(C)	Shares held by custodians and against which depository receipts have been issued.						
	1 Promoter & Promoter Group						
	2 Public						
	Sub - Total (C)						
	Grand Total (A+B)	39,114,100	-	-	39,114,100	100.00	100.00

Regd. Office : B-2, Loni Road Indl. Area (Opp. Mohan Nagar) Ghaziabad - 201 007  
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